

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: RABY, RICHARD E
Application No.: 10/771641 Confirmation No.: 3710
Filed: 04-FEB-2004
Title: PLANAR GUIDES TO VISUALLY AID ORTHODONTIC APPLIANCE
PLACEMENT WITHIN A THREE-DIMENSIONAL (3D) ENVIRONMENT

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

- ☐ transmitted to United States Patent and Trademark Office on the date shown below via the Office electronic filing system.
☐ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
☒ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.

August 9, 2010

/Angela M. Zontelli/

Date

Signed by: Angela M. Zontelli

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Fees

- ☒ It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.
☐ The fee required under 37 CFR § 1.17(p) will be paid at the time of EFS-Web submission. In the event fees are not or cannot be paid at the time of EFS-Web submission, please charge any fees under 37 CFR § 1.17 which may be required to Deposit Account No. 13-3723.
☐ Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723.

Certification Under 37 § CFR 1.97(e)(1)

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

Copies of Documents

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Respectfully submitted,

August 9, 2010

Date

By: /Lance Vietzke/

Lance L. Vietzke, Reg. No.: 36,708

Telephone No.: 651-737-2180

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833